



Fundraising compliance

Getting assurance on ethical practices

Get an objective and independent assessment of your assurance processes from an experienced team who know charities well and will work alongside your people. Sayer Vincent will help you to identify practical solutions to demonstrate both fundraising compliance and how your charity applies its values to fundraising activities, be it in-house or outsourced.

We help you to strengthen your first and second lines of defence to manage these risks while providing your senior management team and board of trustees with assurance on compliance with fundraising codes, regulations, data protection law and your values.

Topics we cover

First line

- Policies
- Codes of conduct
- Ethical fundraising code
- Procedures
- Guidance
- Training

Second line

- Management oversight
- Recording training, supervision, appraisals
- Reviewing third party contracts and performance

Third line

- Independent audit
- 'Mystery shopping'
- Spot checks
- Review control environment
- Healthcheck

Outcomes you can expect

- Procedures and practices that align to your values
- Quality assurance on your policies, procedures and staff training
- Suggestions for improving practices
- Clear processes for managing third party contracts
- Overview of the control environment and initial report to trustees
- Independent view on the cultural health of the organisation
- Report for trustees
- Assurance for senior managers and trustees that fundraising is in line with values

Sayer Vincent are experienced trainers and run courses for many organisations in the not-for-profit sector. With 30 years' experience in the charity sector, we have practical knowledge of the issues you face.

We also provide external audit, internal audit and advice services to charities, schools, arts organisations and social enterprises, using a collaborative and user-friendly approach.

How we work with you



Fees

We'll flex the fees to fit the size of your organisation, but to give you an idea of cost:

Size of organisation	Likely fee
Small – less than 50 staff and 2–5 staff in fundraising team	£6,000 plus VAT
Mid-sized – less than 100 staff and 5–8 staff in fundraising team	£12,000 plus VAT
Large – more than 100 staff in organisation	Fees will be agreed in advance after agreement of a programme tailored to your needs.

We can tailor programmes to your needs if you prefer, and we will agree fees in advance. Fees are subject to VAT at standard rate and are payable at the commencement of each phase.

Contact Sayer Vincent at svinfo@sayervincent.co.uk or 020 7841 6360 to find out more and discuss your needs.

“We approached Sayer Vincent to be our internal auditors because of their understanding of the charity sector. Previous providers adopted a ‘one size fits all’ approach. Our experience with Sayer Vincent has been very different. Their service meets our needs, being targeted at larger, more complex issues requiring a higher level of skills and experience and providing the insight we need.”

Richard Hollands, Director of Business Assurance, Blue Cross

Sayer Vincent LLP

Chartered accountants and statutory auditors

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